

10031347.070802

JC14 Rec'd PCT/PTO 18 JAN 2002

FORM PTO-1390 (Modified)
(REV 5-00)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEYS DOCKET NUMBER

040283-0197

INTERNATIONAL APPLICATION NO.
PCT/GB00/02841INTERNATIONAL FILING DATE
07/21/2000U.S. APPLICATION NUMBER
Unassigned
107031347
PRIORITY DATE CLAIMED
07/23/1999

TITLE OF INVENTION

CHEMICAL COMPOUNDS-II

APPLICANT(S) FOR DO/EO/US

Mike SNAPE, Nathaniel Julius MONCK, Allan FLETCHER, Kelly Jean STANHOPE, Howard Langham MANSELL, and Alan John NELSON.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☒ has been transmitted by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ have been transmitted by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
11. ☒ Applicant claims small entity status under 37 CFR 1.27.

Items 12. to 17. below concern other document(s) or information included:

12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.
15. ☐ A SECOND or SUBSEQUENT preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ Other items or information:

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U.S. APPLICATION NO. 10-01347
Unpublished

INTERNATIONAL APPLICATION NO.
PCT/GB00/02841

ATTORNEY'S DOCKET NUMBER
040283-0197

18. ☒ The following fees are submitted:

CALCULATIONS PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5):
Search Report has been prepared by the EPO or JPO\$890.00
International preliminary examination fee paid to USPTO
(37 CFR 1.482)\$710.00
No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37 CFR 1.445(a)(2))\$740.00
Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,040.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20
Months from the earliest claimed priority date (37 CFR 1.492(e))

\$130.00

| Claims | Number Filed | Included in Basic Fee | Extra Claims | Rate |
|--------------------|--------------|-----------------------|--------------|-----------|
| Total Claims | 14 | - 20 | = 0 | x \$18.00 |
| Independent Claims | 1 | - 3 | = 0 | x \$84.00 |

\$0.00

\$0.00

Multiple dependent claim(s) (if applicable)

\$280.00

TOTAL OF ABOVE CALCULATIONS =

\$1020.00

Reduction by 1/2 for filing by small entity, if applicable.

\$510.00

SUBTOTAL =

\$510.00

Processing fee of \$130.00 for furnishing English translation later the 20
months from the earliest claimed priority date (37 CFR 1.492(f)).

+

TOTAL NATIONAL FEE =

\$510.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

\$510.00

Amount to be:
refunded \$
charged \$

- a. ☒ A check in the amount of \$510.00 to cover the above fees is enclosed.
b. ☐ Please charge my Deposit Account No. 19-0741 in the amount of \$510.00 to the above fees. A duplicate copy of this sheet is enclosed.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Foley & Lardner
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

SIGNATURE

NAME BERNHARD D. SAXE

REGISTRATION NUMBER 28,665

PATENT APPLICATION SERIAL NO. 10/031347

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

DATE/AMOUNT PAID/RECEIVED/REMARKS

| | | |
|----------|--------|----|
| 01/13/97 | 400.00 | PT |
| 02/10/97 | 50.00 | PT |

PTO-1556
(5/87)